

BOARD OF TRUSTEES

Hon. F. Philip Carbullido Chairman

Hon. Alberto C. Lamorena, III Vice-Chairman

Atty. Jacqueline T. Terlaje Member

Atty. Cynthia V. Ecube Member

Mrs. Donna Muña Quinata Member

ADMINISTRATION

Stephen P. Hattori Executive Director

John P. Morrison Deputy Director

Cathyann C. Gogue Administrative Director

PUBLIC DEFENDER SERVICE CORPORATION

(Kotperasion Setbision Defensot Pupbleku)

GOVERNMENT OF GUAM

779 Route 4 Sinajåña, Guam 96910-5174 Tel: (671) 475-3100 ◆ Fax: (671) 477-5844



Board of Trustees' Regular Scheduled Meeting Tuesday, September 27, 2022 – 12:30 p.m. Via Video Conferencing: Zoom & YouTube

AGENDA

- I. Call to Order
- II. Roll Call
- III. Proof of Due Notice of Meeting:
 - A. Notice: 5 Working Days, DOA/PDSC/Daily Post
 B. Notice: 48 Hours, DOA/PDSC/Daily Post
 Tuesday, September 20, 2022
 Friday, September 23, 2022
- IV. Determination of Quorum
- V. Approval of Minutes: Regular Scheduled Meeting of August 30, 2022
- VI. Old Business
 - A. Financial Status Update (PDSC, APD and DVP) Allotment Releases
 - B. Report from PDSC Executive Director (Exhibit A)
 - C. Report from APD Managing Attorney (Exhibit B)
 - D. Report from EJC Managing Attorney (**Exhibit C**)
 - E. Civil Law Center Extended Services (Exhibit D)
- VII. New Business
- VIII. Public Discussion
- **IX. Adjournment and Next Meeting Date:** Tuesday, October 25, 2022 at 12:30 p.m. via Zoom / YouTube / PDSC Conference Room.

Meeting Ad Insertion

Meeting Ad Insertion

Board of Trustees' Meeting
Tuesday, August 30, 2022 – 12:30 PM
via Video Conferencing - Zoom
Public Defender Service Corporation Conference Room

MINUTES

I. CALL TO ORDER

The meeting was called to order at 12:38 p.m. on August 30, 2022 by Chairman Chief Justice F. Philip Carbullido.

II. ROLL CALL

Present: Chief Justice F. Philip Carbullido, Chairman, calling in from

Nimitz Hill, Guam

Attorney Cynthia V. Ecube, Member, calling in from Hagatna,

Guam

Attorney Jacque T. Terlaje, GBA President, calling in from Las

Vegas, Nevada

Excused: Presiding Judge Alberto C. Lamorena, III, Vice Chairman

Trustee Donna M. Quinata, Member

Others Present: Stephen P. Hattori, Executive Director

John P. Morrison, Deputy Director

Ana Maria Gayle, APD Managing Attorney Cathyann Gogue, Administrative Director

Julito Tingson, MIS Administrator Ronald Haynes, Elder Justice Center Gwendolyn Diego, Elder Justice Center Hannah Arroyo, Superior Court of Guam

Theresa Dunphy, APD Attorney

III. PROOF OF DUE NOTICE OF MEETINGS

"Notice of Public Meeting" was published in the Guam Daily Post on Tuesday, August 23, 2022 and Friday, August 26, 2022.

IV. **DETERMINATION OF QUORUM**

With the presence of three (3) out of the five (5) board members, a quorum was determined for the meeting to proceed.

V. <u>APPROVAL OF MINUTES</u>

Chairman Chief Justice Carbullido began the meeting with the approval of the minutes of the regular board meeting of June 28, 2022.

Motion to adopt the minutes subject to correction was made by Trustee Ecube and seconded by Trustee Terlaje. Approved by acclamation.

VI. OLD BUSINESS

A. <u>FINANCIAL STATUS UPDATE (PDSC, APD AND DVP) ALLOTMENT RELEASES</u>

CFO Moreno reported that Department of Administration has been consistent with allotments to both PDSC and APD. Allotment received to date are at 97 percent.

Regarding the Domestic Violence Program, STOP 2018 Sexual Assault funding was exhausted on June 30, 2022. STOP 2019 had a balance of \$1,800 that will be returned as the program expired on June 30, 2022. STOP 2020 has a remaining balance of \$3,300 which will be invoiced for the month of August

Reimbursements for advanced payments have been received regularly, within 30 days of submitting invoices. Improving Criminal Justice Response (ICJR) grant has available funding of \$115,000 which will be used for reimbursements once STOP 2020 has been exhausted.

A total of \$138,194 has been invoiced for the Elder Justice Center as of July 31, 2022. Receivables as of today are for November 2021 and April, June, and July of 2022 for a total of \$69,000. EJC is in constant communication with Division of Senior Citizens to follow up with remaining receivables due PDSC.

Chief Justice Carbullido inquired about EJC's payment delays where CFO Moreno reported that invoices are still being audited and discrepancies, if any, are being fixed. SPC Diego clarified that the payment delays rest on DOA and Office of Attorney General and assures that DSC has no questions regarding EJC's submissions. Chief Justice Carbullido asked what OAG's involvement is with the invoices where SPC Diego reported that it is an auditing issue. Chief Justice Carbullido asked that AD Gogue find out what the delay is and if PDSC can assist with expediting the process. He added that if need be, they will speak with DOA's Director Birn. Trustee Terlaje questioned why the reimbursements do not follow the chronological order of months where SPC Diego stated that they, too, have inquired about this, but have not been given a definite answer. Trustee Terlaje added that perhaps a change in invoices is triggering the audit thus creating discrepancies. SPC Diego responded that she will get in touch with DSC and hopefully get a better answer regarding the delays. Chief Justice Carbullido suggested that they be more aggressive with follow-ups.

Page 2 of 4

B. REPORT FROM PDSC EXECUTIVE DIRECTOR

ED Hattori reported that PDSC's proposed bill amendments to the FY2023 budget are still being discussed at the Legislature. He continued with PDSC's SOP for screening conflicts and the data reflecting conflicts. Chief Justice Carbullido suggested to table the matter to the next meeting when Presiding Judge Lamorena is present. He continued to inquire if a second screening is done after an attorney determines a conflict exists. ED Hattori stated there is no second screening. Chief Justice Carbullido suggested a supervising attorney also determine there is a conflict in a case before submitting a motion to withdraw. This process should include signatures by the requesting attorney and managing attorney. Chief Justice Carbullido asked that this be modified in the existing policy. Trustee Ecube added that PDSC re-examine their conflict policy being that it was last updated in 2014. She believes that conflict waivers are available and it would be useful to note any significant changes regarding conflicts and how it relates to ethics standards. Trustee Terlaje added that they recommend to the Judicial Counsel an Advisory Committee to address the ongoing conflicts issue for both the public and private attorney panels. Chief Justice Carbullido agreed and would like to set up continuing education relative to recusals and disqualifications. He continued that in terms of PDSC's data, he would prefer percentages over raw numbers. ED Hattori added that numbers would be lower if conflicts are identified at magistrate hearings.

C. REPORT FROM APD MANAGING ATTORNEY

MA Gayle reported that Attorney Lemons is no longer with APD and she and Attorney Santos have taken over his caseload. She continued that she, too, would like to be updated on rules regarding conflicts because like ED Hattori, APD has been appointed cases at magistrate hearings when conflicts already existed. ED Hattori added that a case was found by a trial judge to be a conflict after the OAG moved to disqualify APD.

D. REPORT FROM EJC MANAGING ATTORNEY

MA Haynes reported on the number of cases opened and closed from January to August of this year. In August alone there were 24 cases closed because there was not enough communication with the clients to keep them open. EJC has reached out to these clients to follow up on services. After several attempts and we receive no response, we close the file. As of August 12, a total of 305 cases were closed. EJC continues to build presence in the community by participating in various outreach activities. They also continue to do home and hospital visits when necessary.

E. CIVIL LAW CENTER – EXTENDING SERVICES

Regarding request for services outside of their scope, they have at least one per month regarding landlord-tenant issues. They also receive requests for Deeds of Gift. In response to these inquiries, EJC refers clients to other offices – Guam Bar, Micronesia Legal Services or Guam Legal Services – for assistance.

MA Haynes referenced the Tennessee Senior Alliance Group to give the board an idea of other senior programs that EJC can extend services to the community. He indicated the Tennessee program provided services relative to education, employment, housing, and landlord-tenant matters, among other services. Should the board vote to expand EJC's services, landlord-tenant issues and deeds of gift would be priority per MA Haynes. Chief Justice Carbullido asked this matter be tabled to the next meeting when all other Trustees are available for discussion. Trustee Terlaje recommended that EJC provide more specific data in terms of landlord-tenant issues and the particular fields they relate to such as lease dispute and unlawful detainer. This matter was tabled to the next meeting for MA Haynes to gather more information. He asked for clarification if the client intake should move forward in order to determine the exact matter at hand. Chief Justice Carbullido stated EJC is to only inquire of the nature of the request for assistance, and not determine its legal merits exists. ED Hattori stated that a survey can be conducted amongst the senior citizens centers.

VII. **NEW BUSINESS**

None.

VII. PUBLIC DISCUSSION

A. THERESA DUNPHY – TEMPORARY ADMISSIONS

Attorney Dunphy provided introductions to the board and stated she has practiced in California mainly in criminal defense. Thereafter, her swearing-in was performed by Chief Justice Carbullido.

VIII. ADJOURNMENT AND NEXT MEETING DATE

With no further matters to discuss, <u>motion was made by Trustee Ecube and seconded</u> by Trustee Terlaje to adjourn the meeting at 1:30 p.m. Approved by acclamation.

The next BOT meeting is scheduled for Tuesday, September 27, 2022 at 12:30 p.m. in the PDSC conference room via Zoom/YouTube.

Respectfully submitted,

/s/ CATHY GOGUE Board Secretary

Old Business Agenda Item VI-B PDSC Executive Director Report / Statistics

EXECUTIVE DIRECTOR Stephen P. Hattori DEPUTY DIRECTOR Richard S. Dirkx ADMINISTRATIVE DIRECTOR Cathyann C. Gogue

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PUBLIC DEFENDER SERVICE CORPORATION

(Kotperasion Setbision Defensot Pupbleku)

GOVERNMENT OF GUAM

779 Route 4 Sinajåña, Guam 96910 Tel: (671) 475-3100 ◆Fax: (671) 477-5844 STAFF ATTORNEYS
Jocelyn M. Roden
Peter J. Sablan
Ali N. Nusbaum
William B. Jones
Kristine B. Borja
William C. Bischoff
Alisha L. Molyneux
Rocky P. Kingree
Zachary C. Taimanglo
Earl Anthony V. Espiritu
Theresa G. Rojas
Karlo J. Dizon

STANDARD OPERATING PROCEDURE

LEGAL CLERKS, LEGAL SEC ATTORNEYS	RETARIES, PARALEGALS, IN	VESTIGATORS,
NUMBER:	DATE OF ISSUE:	EFFECTIVE DATE:
001-FY2019	June 14, 2019	August 15, 2019
SUBJECT:		
Screening for and Addressing (Conflicts of Interest	

Policy:

As specified in PDSC Board of Trustees Resolution No. 03-14.

Purpose:

To clarify roles and responsibilities and replace the PROCEDURES

listed on Page 4 of Resolution No. 03-14, Attachment "A".

I. When to Screen for Potential Conflicts of Interest

All screenings should be completed <u>promptly</u> upon receipt of the precipitating case information.

- a. Upon receipt of charging documents, the Legal Clerk performs a screening.
- b. Upon receipt of any discovery or a witness list, the Attorney performs a screening using a Potential Conflict Checklist, and the Legal Secretary creates a new linked name record for each defendant, victim, and witness not already listed in the Abacus case matter.
- Upon assignment of case investigation tasks, the Investigator performs a screening using a Potential Conflict Checklist.
- d. Upon assignment of case paralegal tasks, the Paralegal performs a screening using a Potential Conflict Checklist.
- e. Upon recognition or receipt of undocumented information about additional involved parties, the informed staff member should perform a screening.
- II. How to Screen for Potential Conflicts of Interest
 - 1. If using the checklist, create a new Potential Conflict Checklist and record the screening information

- 2. Launch Abacus and select the "Names" icon on the toolbar.
- 3. For each defendant, victim, and witness:
 - If using the checklist, list the person's name, identifying information, and class/type on the Potential Conflict Checklist.
 - ii. Input the person's name into the search box (either First Last or Last, First) and press ENTER.
 - iii. Examine each resulting name record to determine if the person is or could be a current or former client, consistent with the applicable policy.
- 4. If a potential conflict is identified:
 - If using the checklist, check the "POTCON" box on the Potential Conflict Checklist and record the potentially conflicting case number.
 - ii. In the Abacus case matter, create a POTCON note that lists the person's name and class/type, as well as the case number, file number, assigned attorney, and case status of the potentially conflicting case.
 - iii. Notify the Attorney of the need for review of potential conflicts identified by non-attorney staff.
 - iv. Cease all case work until the potential conflict is reviewed, unless the Attorney directs otherwise.
- 5. If using the checklist, provide the Potential Conflict Checklist to the Legal Secretary to be added as the bottom page on the left side of the physical case file.
- 6. In the Abacus case matter, create a new linked name record for each defendant, victim, and witness not already listed.
- III. How to Review and Address Potential Conflicts of Interest All reviews should be completed within 3 days of identification or notification of a potential conflict.
 - 1. If a conflict exists:
 - a. The Attorney creates an ATTYNOTE recording his or her determination.
 - b. The Attorney drafts and files a motion to withdraw.
 - 2. If there is no conflict:
 - a. The Attorney creates an ATTYNOTE recording his or her determination.

Attachments:

PDSC Board of Trustees Resolution No. 03-14

Potential Conflict Checklist Instructions for Creating a Linked Name Record in Abacus



POTENTIAL CONFLICT CHECKLIST

DOCUMENT TYPE: SCREENED BY:		PAGES: DATE:									
	RELATION	TO CASE	: <u>=</u>	SCREENING	ATTORNEY						
NAME AND IDENTIFYING INFORMAT	Person Type	Name in Abacus?	POTCON for Review	POTCON Case Number	REVIEW Initial for Review Completed						
1.											
2.					=						
3.					= = = =						
4.											
5.											
5.											
7.					Σ.						
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12.

13.

BEFORE THE

BOARD OF TRUSTEES OF THE PUBLIC DEFENDER SERVICE CORPORATION RELATIVE TO THE APPROVAL OF THE PUBLIC DEFENDER SERVICE CORPORATION'S STANDARD OPERATING PROCEDURES (SOP) FOR CONFLICTS OF INTEREST

RESOLUTION NO. PDSC 03-14

WITEREAS,	Chapter 11 Title 12 Guam Code Annotated established the Public Defender Service Corporation, and
WHEREAS,	§11104 of Chapter 11 Title 12 Guam Code Annotated defines the duties of the Corporation in Criminal Cases indicating that "the Corporation may assist the judges of the courts of Guam in ruling on cases of indigency and in establishing criteria for determining when the services of the Corporation are appropriate", and
WHEREAS,	§11106 of Chapter 11, Title 12 Guam Code Annotated grants the Corporation "the power to do any and all things necessary to further the purposes of this Chapter", and
WHEREAS,	§11107 of Chapter 11, Title 12 Guam Code Annotated (Board of Trustees) states, "Al powers vested the Corporation, except as otherwise provided in this Chapter, shall be exercised by the Board, and
WHEREAS,	a written policy relative to a consistent methodology for determining CONFLICTS OF INTEREST in cases assigned to the Corporation by the courts of Guam is non-existent; and
WHEREAS,	the Board of Trustees, recognizing the need for the development and implementation of such a policy, has instructed the PDSC Executive Director to create one which will be brought before the Board for its review and approval and
whereas,	on January 14, 20 4 Executive Director Eric D Miller presented the attached PDSC Standard Operating Procedures (Attachmem "A") for Conflicts of Interest to the Board of Trustees, now therefore be it
RESOLVED,	the Board of Trustees of the Public Defender Service Corporation, at its duly-noticed meeting of January 14 2014, hereby approves the Corporation's Standard Operating Procedures (Attachment "A") for Conflicts of Interest, which is attached hereto and made a part of this resolution, and be it further
RESOLVED,	these Standard Operating Procedures (Attachment "A") for Conflicts of Interest shall take effect immediately

PDSC Hoard of Trusters Resolution No. 03-14 Page 2 of

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DULY AND REGULARLY ADOPTED this 14th day of January, 2014.

Chief Justice F PHILIP CARBULLIDO

ATTEST:

ار دواه BERNADETTES N. CHARGUALAF

ATTACHMENT "A" (PDSC Board of Trustees Resolution No. 03-14)

STANDARD OPERATING PROCEDURE

LEG	AL SERVICES (Attorneys, Legal S	Secretaries, Legal Clerks)						
TUMBER:	DATE OF ISSUE:	EFFECTIVE DATE:						
001-FY2014	January 14, 2014 January 14, 2014							
UBJECT: Conflicts of	Interest (Withdrawals from Cases	due to Conflicts)						

BACKGROUND:

The Public Defender Service Corporation (PDSC) is a *public corporation* of the Government of Guam (§11103, Chapter 11, Title 12 Guam Code Annotated). The primary functions of the agency include the provision of legal services, primarily in the representation of indigent defendants brought before the courts of Guam.

In some instances, the PDSC is required to withdraw as counsel of record when a conflict of interest exists. The Corporation seeks to establish a written policy which will provide guidelines as to when these withdrawals should occur.

AUTHORITY:

Chapter 11, Title 12 Guam Code Annotated established the Public Defender Service Corporation. In particular, §11104 defines the duties of the Corporation in Criminal Cases, and states that "the Corporation may assist the judges of the courts of Guam in ruling on cases of indigency and in establishing criteria for determining when the services of the Corporation are appropriate".

The Guam Rules of Professional Conduct (GRPC) provides guidelines for determining whether a conflict exists.

PURPOSE:

This Standard Operating Procedure shall provide a consistent methodology and/or guide in determining whether a conflict of interest exists, and therefore the PDSC must withdraw from a certain case.

APPLICABILITY:

This Standard Operating Procedure shall apply to all PDSC employees, especially the Legal Clerical Services division, the Legal Secretarial Services Division and the Attorneys division. These personnel are directly involved in the processing of cases received by the Corporation. (Please see "Procedures" below.)

POLICY:

The Public Defender Service Corporation shall not accept clients where the acceptance of the client would create a conflict of interest with respect to a current or former client, or an employee of the PDSC. In determining whether a conflict exists, the PDSC will be guided by the Guam Rules of Professional Conduct (GRPC). The following is a guide to making those decisions in specific situations:

Witness is a Former Client:

- 1. PDSC shall not reveal information relating to the former representation except as allowed by the GROC. [GRPC 1.9 (c) (2)]
- PDSC shall not represent a client where current representation is with respect to the same or substantially related matter, and the current and former clients have interests adverse to each other. [GRPC 1.9 (a)]

Example: PDSC represents the victim in a Domestic Violence case, and has obtained an Order of Protection. Subsequently, the PDSC is appointed to represent the abuser in a new assault case against the former client.

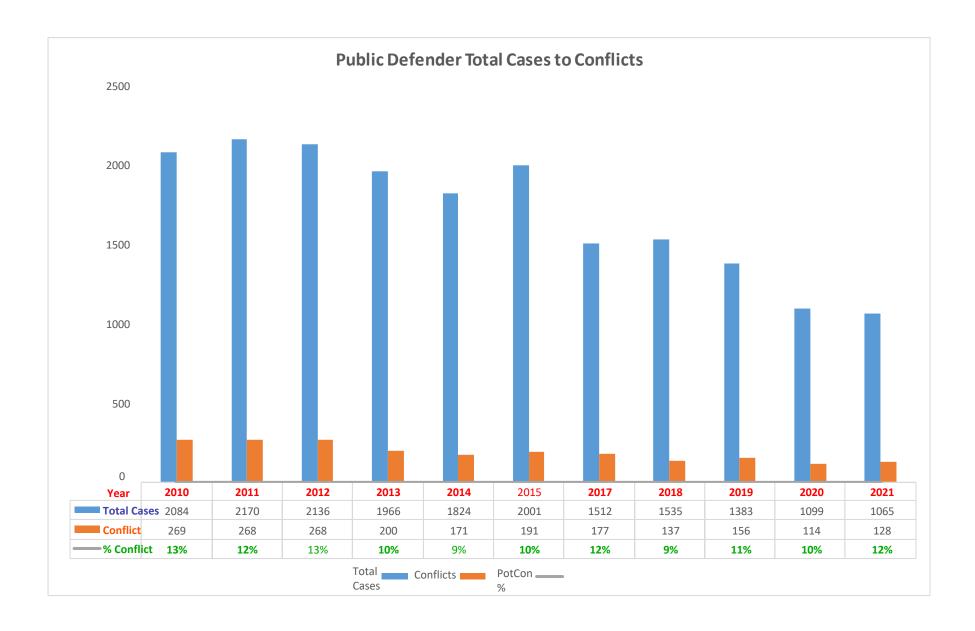
PDSC would move to withdraw from representing the abuser in the assault case, because current representation is with respect to the same or substantially related matter, and the current and former clients have interests adverse to each other.

> Witness is a Current Client: This is a CONFLICT [GRPC 1.7 (a) (1)] UNLESS:

- Representation of the witness is unrelated to a current case; and
- Continued representation of both parties will not negatively impact the representation of either client; and
- Both clients sign a Waiver of Conflict. [GRPC 1.7 (b)]

Example: PDSC represents a child in a Juvenile case. PDSC is subsequently appointed to represent the child's father in a Family Violence case where the

PDSC 2010- 2021 Conflict Statistics



PDSC Statistics

YTD Type of Case by Case Status as of September 2022

Type of Case	PRE	PRE-BW	Grand Total
Ciminal Appeals	7		7
Civil Appeals	1		1
Civil Case	3	1	4
Consultation Matters	6	<u> </u>	6
Criminal Felony	104	175	279
Criminal Felony - Adult Drug Court	118	54	172
Criminal Felony - Arson	3	<u> </u>	3
Criminal Felony - Assault	55	5	60
Criminal Felony - Burglary	36	8	44
Criminal Felony - Child Abuse	2	[2
Criminal Felony - DUI	16	1	17
Criminal Felony - Family Violence	53	4	57
Criminal Felony - Forgery	1	2	3
Criminal Felony - Mischief/TresPass	23	4	27
Criminal Felony - Murder/Homicide	6		6
Criminal Felony - Robbery	8	1	9
Criminal Felony - Stalking	10	1	11
Criminal Felony - Terrorizing	30	3	33
Criminal Felony - Theft	44	7	51
Criminal Misdemeanor	89	432	521
Criminal Misdemeanor: Child Abuse	9	1	10
Criminal Misdemeanor: Criminal Sexual Conduct	3	ļ	3
Criminal Misdemeanor: DWI	83	10	93
Criminal Misdemeanor: Family Violence	114	33	147
Criminal Misdemeanor: General Jurisdiction	77	23	100
Criminial Felony - Home Invasion	5	1	6
Criminial Felony - Sexual Conduct	43	3	46
Crminial Felony - General Jurisdiction	29	5	34
Juvenile Delinquent	18	1	19
Juvenile Drug Court	1	1	2
Juvenile Guardianship	32	T	32
Juvenile Person In Need Of Services	39	Ī	39
Juvenile Status Offense	1	-	1
Probate/War Claims	28		28
Protective Order	40	Ţ	40
Special Proceedings	16	L	16
Grand Total	1153	776	1929

Year to Date Case Status by Courtroom as of September 2022

Court Room	PRE	PRE-BW	Grand Total
Alberto C. Lamorena III	171	200	371
Alberto E. Tolentino	252	77	329
Anita A. Sukola	4	78	82
Arthur R. Barcinas	68	40	108
Dana A. Gutierrez	33	14	47
Elizabeth Barrett-Anderson	1	25	26
Elyze M. Iriarte	5	8	13
Frances T. Gatewood		7	7
James L. Canto, II		16	16
Joaquin E. Manibusan	1	13	14
Jonathan R. Quan	1	7	8
Katherine A. Maraman		1	1
Linda L. Ingles	45	1	46
Maria T. Cenzon	218	73	291
Michael J. Bordallo		46	46
PDSC Office	3		3
Steven S. Unpingco		4	4
Superior Court of Guam	86	26	112
Supreme Court of Guam	10		10
Vernon P. Perez	255	140	395
Grand Total	1153	776	1929

YTD Case Status as of September 2022

Type of Cases	ADC	DFRD	PEND	POST	POST-ADC	POST-BW	POST-DUI	POST-FV	POST-MI	POST-VET	PRE	PRE-BW	Grand Total
Ciminal Appeals		4		3							7		14
Civil Appeals	!]		[F		1		1
Civil Case	Ï			8					Ţ		3	1	12
Consultation Matters	ļ			2]		[6	Ţ	8
Criminal Felony	! 	36	 -	1044	6	295	2	1	5	3	104	171	1667
Criminal Felony - Adult Drug Court	1			62	7	12					117	55	254
Criminal Felony - Arson		! !				:					3		3
Criminal Felony - Assault		1	:	38		4	 	1	1		55	5	105
Criminal Felony - Burglary]		20		1			1		36	8	66
Criminal Felony - Child Abuse			ļ	Ï					Ţ	ļ .	2	Ţ	2
Criminal Felony - DUI	i	i	i	15]	İ	ĺ	i	T	i	16	1	32
Criminal Felony - Family Violence	 	5	 -	66		4	;	1	 -	; ——i	53	4	133
Criminal Felony - Forgery	;			3							1	2	6
Criminal Felony - Mischief/TresPass		3		16		4		1	:		23	4	51
Criminal Felony - Murder/Homicide	:	 	:	1		: :			:	;	6		7
Criminal Felony - Robbery	!	!	:	3]	:	[F		8	1	12
Criminal Felony - Stalking	Ï	1		2					Ţ		10	1	14
Criminal Felony - Terrorizing	i	2		17]	İ	í	i	1		30	3	53
Criminal Felony - Theft	;	: 	<u></u>	19		1	i		<u> </u>	;	44	7	71
Criminal Misdemeanor	; 	74	1	1014	2	428	11	3	11	4	89	415	2052
Criminal Misdemeanor: Child Abuse	-	2									9	1	12
Criminal Misdemeanor: Criminal Sexual Conduct						:			:		3		3
Criminal Misdemeanor: DWI	:	2	: :	112]	7	15		F		82	10	228
Criminal Misdemeanor: Family Violence		10		107		8		7	1		114	33	280
Criminal Misdemeanor: General Jurisdiction				67		11			2		77	23	180
Criminial Felony - Home Invasion	İ	İ	İ	2		İ	İ		i	i	5	1	8
Criminial Felony - Sexual Conduct				12							43	3	58
Crminial Felony - General Jurisdiction				10						1	29	5	45
Domestic		2		3									5
JP				7									7
Juvenile Delinquent		1		77					ļ		18	1	97
Juvenile Drug Court				2							1	1	4
Juvenile Guardianship	İ	2	1	15	i	<u>.</u>	 		<u> </u>	ii	32	L	50
Juvenile Person In Need Of Services				70]	L			<u> </u>		39	I	109
Juvenile Status Offense				11		1					1		13
Probate/War Claims				5							28		33
Protective Order		3		84		1					39		127
Special Proceedings	<u> </u>	<u> </u>		5	<u> </u>	<u> </u>	<u> </u>				16	<u> </u>	21
Grand Total	1	148	2	2922	15	777	28	14	22	8	1150	756	5843

Old Business Agenda Item VI-C APD Managing Attorney Report / Statistics

ALTERNATE PUBLIC DEFENDER Incoming Case Count (Monthly Report) FY2022 AUGUST 08-01-22 thru 08-31-22

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A. Gayle	4		1		4	2		1	1				2		3		1					19	106			
B. Breazeale																						0	49	82	64	ВЈВ
P. Santos	1			1	6	4		3	1		1		2				1					20	96	123	141	PJS
S. Flynn																	2	1	7			10	76	103	120	STF
T. Dunphy													1					2				3	3	3	3	TCD
R. Dirkx																						0	0	0	0	RSD
TOTAL	5	0	1	1	10	6	0	4	2	0	1	0	5	0	3	0	4	3	7	0	0	52	337*	465*	461	
						30								1	2			1	0	0			*CLJ/7/11			

Conflicts and Closure Report	CY2022 (TO DATE)	CY2021	CY2020	CY2019	CY2018
PDSC W/D to APD	74	88	78	113	94
APD Conflict W/D	45	80	44	76	46
APD CLOSED #S	168	435	300	460	394



ALTERNATE PUBLIC DEFENDER

Public Defender Service Corporation

GOVERNMENT OF GUAM

Suite 902, DNA Building 238 Archbishop F.C. Flores Street Hagåtña, Guam 96910 Tel (671) 475-3234/35/36 Fax (671) 475-3238 Managing Attorney: Ana Maria C. Gayle

Staff Attorneys: John P. Morrison James N. Spivey, Jr. George N.P. Valdes Lisa D. Lorig Aleyshia F. Young

STANDARD OPERATING PROCEDURE

DIVISION/SECTION:		
ATTORNEYS,	INVESTIGATIONS, LEGAL	OPERATIONS STAFF
NUMBER:	DATE OF ISSUE:	EFFECTIVE DATE:
03-FY2017	April 5, 2017	2 nd Amended 11-29-2018
SUBJECT:		
POTE	NTIAL CONFLICTS REVIEW	PROCEDURE
(I.) Magis	strate Complaint Review and (II.)	Discovery Review

Policy: Identify and disclose any potential conflicts within the discovery provided by the

office of the Attorney General

Purpose: APD's approach to potential conflicts is to quickly identify conflicts in order to

eliminate or reduce risk to the client, and any current clients.

Scope: Investigation, Legal Secretary, Front Office and Attorneys

Responsibilities: Discovery is received by the Front Office, via hand delivery or electronically. The

following are the standard procedures to ensure that discovery materials received are being reviewed by the proper personnel and any action needed is done in a timely

manner.

Procedure: Phase 1 – Complaint/Indictment Review

1. Upon receipt of the initial Magistrate Complaint/Indictment, Front Office will create the file on Abacus.

- a. Data entry of initial Name entries of client, victim(s), co-def(s) and witness(es) from the complaint and AAG declaration.
- b. <u>Potential Conflicts</u> ("POTCON") are identified by F/O and are noted on Abacus and in the case file.
- c. Run the "<u>CLIENT PRIOR REPORT WITH APD</u>" report for each POTCON. Print and tab report in the case file, indicating "*POTCON*".
- 2. Prepare the "Remarks Sheet 1st Page" using Abacus forms. (see "A")
 - a. Indicate in the "POTENTIAL CONFLICT" box section either one of the following:
 - i. NO POTCON No found conflicts within the magistrate complaint or AG's declaration.

ii. POTCON – A potential conflict found. Print conflict report from Abacus. Inform attorney of needed action/clearance.

Phase 2 – Discovery Review (Receiving, transmitting and reviewing)

- 1. Discovery is received via hand delivery by OAG.
 - a. Front Office scans hand-delivered disco.
 - i. Emails copy of discovery to Investigations requesting a conflict review, cc Legal Secretary.
 - ii. Forwards hard copy to Legal Secretary/Attorney noting when copy was emailed to Investigations for conflict review.
- 2. Discovery is received via email from OAG.
 - a. Front Office links email to Abacus.
 - b. Front Office downloads discovery to "scanned documents" drive on the server.
 - i. Rename as such: CM888-88 Disco #18-12345 pp 01-53 (CRUZ)
 - c. Forwards discovery to Investigations/Attorney requesting conflict check (phase 2) and case review.

Investigations Review of Discovery

- 3. Investigations will review transmitted discovery.
 - a. Complete a "conflict form" (attached "B").
 - b. Upload completed form to abacus as a PDF.
 - c. Email form to attorney, management officer and legal secretary.

Attorney Review of Conflict Review Form/Discovery

4. Assigned Defense Counsel must review completed Conflict Review form and decide if a potential conflict is cleared OR requires a withdrawal motion.

Name Entries by Front Office

- 5. Front Office will double check Name entries with the conflict report linked and prepared by Investigations. Follow attorney instructions as to which names are to be added onto Abacus.
 - a. Review, correct or add Dates of Births, Addresses, Contact numbers for co-defs, co-actors, victims, witnesses, etc.
 - b. Upon completion, insert a note entry that such work was completed.

References:

Abacus Matter Record Management Officer and all secretarial/front office personnel Managing Attorney and all Staff Attorneys Investigators

subject to change with notice

Submitted and prepared by: Audre K. Hattori, Management Officer

Concurred by: Ana Maria C. Gayle, Managing Attorney

Conflict check - Phase 1

Client: CY	PHER, LOUIS (DOB: 09/09/1996)	File #:	7605	
Case #: CF2	018-18 ⊠ PRIOR Cases w/APD	Attorney:	ANA MAI	RIA C. GAYLE
	re-Trial 🗆 Pre-BW	Judge:	AAS/GJF-	Burglary
	onfined – Bail $\frac{5,000}{}$ \square Released – PR $\frac{5}{}$	Cell #:	(671)777-	8888
	anguage: RUSSIAN	Hm/Wk#:	(671)222-	1111
	sserted 🗆 Waived	Other #:	() -	
	Burglary – 2 nd Degree			
	Theft of Motor Vehicle – 2 nd Degree efendant: JOHN A. DOE Victim:			
	with APD. Priors with APD.).		
POTENTIAL CONF		ey Action:	POTCO! Need wi	N Reviewed.
CLEPKISEC INITIA	or AG's declaration. Need attorney action. \rightarrow \rightarrow	EY INITIALS	-	
ARRAIGNMENT	ATTENDANCE CLT ENTERED PLEA OF: SPEEDY TRIAL REQUEST	JURY	ASSIGNED J	
O3/11/18	☐ Present ☐ Not Guilty ☐ Waived ☐ Jury of 6☐ Confined ☐ Guilty ☐ Asserted ☐ Jury of 12☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐			NEXT HEARING DATE:
JRQ	☐ Failed to Appear ☐ Guilty ☐ Asserted ☐ July of 12			
Entry Date	Hearing Notes / Remarks			Next Hearing Type / Date / Time
				Next Hearing: ARRAIGNMENT
		ned.		03-11-2018
	☐ Speedy Trial Form prepared for ARR HRG.			2:00 PM
	☑ Appt scheduled w/ <u>ACG</u> on <u>03/10/2018</u> @	2:00	AM PM	JRQ
03/08/18	POTCON: Co-defendant is an APD client in CF15	69-1	7	Next Hearing:
007 007 10	Teresta. es defendant is diff il benefit il or re	0 / 1	/ ·	****
				1
43				
				(2)
				Next Hearing:
4				1111 W
				Next Hearing:
	GIIAM		0.	
	PUBLIC DEFEND	BR		Next Hearing:
	SERVICE CORPORA	TI	ON	
				Page 27 of 40

Conflict check - Phase 2

POTENTIAL CONFLICT CHECKLIST FORM

nt's Name:	LOUIS CYPHER		Defense Attorney:	ACG
Case No.:	CF2018-18		Date Completed:	04/15/18
eport Nos.:	18-12345		Investigator:	JGS
Page Nos:	pp 001-106	X((L(C)	- 11111111	
Notes from vestigator:	111111			

	NAME	TYPE	ABACUS CHE	ECK/REVIEW	ATTORNEY ACTION		
	Address, DOB or ID#	Victim, Suspect, Reporting Person, etc.	No POTCON	POTCON Case#	Attorney Notes/Initials	Link THIS name to Abacus	
*	Sample: Joseph Does #154-A Cruiser Apts 35 Chalan San Antonio, Tamuning, Guam DOB: 01-02-2003	Reporting Person		CF123-11		\boxtimes	
1.	LOUIS CYPHER #123 Chalan Mami Unit 203 DOB: 09-09-1996	SUSPECT/CLIENT	\boxtimes				
2.	JOHN A. DOE #22 River Lane, Piti Cell 789-4561 DOB: 02-02-1993	SUSPECT		CF1569-17	Prep waacg	∕d ⊠	
3.	ANHA RIA CRUISE #36 Lada Avenue, Dededo Home 632-1478 DOB: 03-26-1997	WITNESS (Store Mgr)	\boxtimes				
4.							
5.							
6.							
7.							
8.							
9.							
10.							

Old Business Agenda Item VI-D EJC Managing Attorney Report / Statistics

Elder Justice Center

Month	Number of Cases Opened	Number of Cases Closed	Powers of Attorney/revocations	Last Will and Testament	Living Will	Guardianship information and advice
January 2022	30	7	3	3	2	3
February 2022	34	42	29	19	17	6
March 2022	48	73	79	44	29	7
April 2022	36	55	67	38	13	3
May 2022	35	33	39	13	12	2
June 2022	48	34	69	25	26	0
July 2022	33	37	52	17	11	0
August 2022	33	66	90	31	14	0
September 16, 2022	19	29	22	2	6	0
Totals:	316	376	450	192	130	21

Month	Outreaches	Trainings	Conferences	Collaborations	Home/Hospital Visits
May 2022	1	1	1	2	12
June 2022	7	1	1	2	7
July 2022	4	3	3	6	1
August 2022	0	1	0	3	20
September 16, 2022	1	3	0	0	8

Elder Justice Center

As of Sept 16, 2022	Mar	Apr	May	Jun	July	August	Sept
Probate	3	2	0	0	0	0	0
Deed of Gift	0	1	1	2	1	2	1
Guardianship over Adult	2	2	2	0	0	0	0
Guardianship over Minor	0	0	2	1	1	0	0
Quit Claim Deed	0	0	0	2	0	1	0
Landlord Tenant Issues	1	1	1	2	1	2	0
Other	2 (Abuse)	7 (POA-Not yet a senior, War Claims, Taxes and Life Ins. Issues, Living Trust)	2 (LWT w/Deed already in place, Land issues, Unlawful entry, Boundary Dispute)	3 (Senior hospitalized off-island seeking legal services, Right of Sepulcher, Certificate of Title)	3 (notary services for passport, Birth Cert needs name correction, medical bill issue, tax issues)	6 (Debt Collection, Medical Billing, Avoiding Scams, Insurance Issues, Living Trust)	2 (Social Security Assistance, Housing Assistance)

Elder Justice Center

As of Sept 16, 2022	Mar	Apr	May	Jun	July	August	Sept
Guam Bar Assoc	4	7	6	8	2	1	2
GLSC	0	0	0	0	0	0	0
PDSC	0	5	3	1	1	0	0
OPG	2	2	2	0	0	0	0
MLS	0	0	2	0	0	0	1
Other	2	1	2	1	2	0	1

Old Business Agenda Item VI-E Civil Law Center (CLC) Extending Services

Extension of Services

Currently the Elder Justice Center (EJC) is serving seniors in the area of Wills, Powers of Attorney-both financial and healthcare-and with Living Wills. The goal of our board and of the EJC from its inception was to respond to the original waitlist of seniors, listed as approximately 500 clients (Memorandum from DPHSS to Governor of Guam, August 31, 2020), and their communicated needs of wills and POAs before addressing other needs of the community. The staff of EJC has responded to that goal, making huge strides toward completing the services of the original waitlist and those that contacted the EJC thereafter. Now, while continuing to address the services requested, the EJC is obligated to be available to serve our senior community in the most essential needs of shelter, food and healthcare. The MOU of August 31 states,

WHEREAS, the broad purpose of the LAS Program is to focus outreach and services particularly directed toward the most socially or economic needy older persons (those least likely able to advocate on their own behalf) to assist them to: understand their rights; exercise choices; benefit from services, opportunities and entitlements; meet essential needs of income, shelter, health care and nutrition; and maintain the rights promised and protected by law. (Page 11).

This "broad purpose" is more focused when stating the Scope of Services to be delivered by PDSC:

PDSC shall give priority to legal assistance, based on urgency of need related to income, health care, adult day care admission, long-term care, nutrition, housing including landlord-tenant issues primarily in defense against evictions, utilities, protective services, defense of guardianship, abuse, neglect, age discrimination regarding employment, consumer issues in cases that reflect a pattern of fraud against the elderly as a group, and public benefits. Services shall include Advance Directives including powers of attorney, restraining orders, guardianships of the person and wills.

Additionally, extending the services is in keeping with the enabling legislation:

Guam established the Public Defender Service Corporation (hereinafter Corporation) to "provide effective legal aid and assistance to those persons in Guam who are unable to afford counsel." 12 GCA §11103. While the Corporation has a duty to extend aid to indigent persons charged in criminal cases, the legislature also directed the Corporation to render legal aid in civil matters so long as the cases are not fee-generating and those receiving the services would not otherwise receive such assistance. 12 GCA §11105.

The Corporation shall render legal aid and assistance to those persons in Guam, who, under rules established by the Corporation, are in the greatest economic and social need of legal assistance and representation. The Corporation shall not accept fee-generating cases and shall give legal assistance in civil matters only to those who would otherwise not receive such assistance. The Corporation may apply for, receive, and administer

grants, subgrants or enter into intragovernmental and intergovernmental agreements to provide legal services to elderly individuals, as defined pursuant to local or federal laws, regulations, or guidelines. The Corporation is authorized to comply with the requirements of federal grants, subgrants, and intragovernmental and intergovernmental agreements, to include representation of individuals who may qualify for services pursuant to the terms of federal grants, subgrants, and intragovernmental and intergovernmental agreements.

12 GCA §11105.

Currently, the Elder Justice Center serves the senior citizens of Guam by providing basic wills, powers of attorney for financial assistance and powers of attorney for healthcare, and living wills. This has been the board directive since its inception and is in response to the senior community needs and requests as well as complying with the grant purposes.

The Elder Justice Center is now proposing that services be expanded to include the purposes of the grant and in keeping with 12 GCA §11105 in that the additional services are the greatest economic and social need of legal assistance, are not fee-generating and lawyers are not available to assist our seniors in the most essential needs of shelter, food and healthcare.

Each of these topics meet the requirements of the *Public Defender Service Corporation Act* 12 GCA § 11105.

Landlord-tenant.

Currently, those who are tenants and in jeopardy of losing housing would be unable to afford representation. They are disenfranchised and often taken advantage of in the landlord/tenant bargaining relationship and are unable to bring actions when landlords fail or refuse to provide the basic requirements of habitability. These issues would include informal hearings with GHURA when necessary.

Deed of Gift.

Helping seniors with deeds of gift of their property is only an extension of the wills that we already do. It is simply a more complete assistance, but in order to not use resources on this issue alone when there are so many issues that seniors are in need of, the services that EJC would provide would be limited to one deed of gift per family and then it would only be for a personal residence with the client being responsible for a title search and the filing fees with Land Management.

Utility issues.

The news of the woman who has acquired a \$9,000 water bill is an example of a situation where there is a disputable matter with the water company as to who had a responsibility to repair a leaking water pipe at location at the property line. The individual cannot afford an attorney and has been unrepresented. Therefore, further essential services are denied without recourse.

Unlawful debt collection practices

When debt collectors overstep the law and threaten debtors with unfair collection practices, the law provides for statutory damages; however, those damages are capped at \$1,000. With such a low award for the work involved, private attorneys do not take these cases and yet these unlawful practices can result in garnishment and deprivation of income.

Consumer protection

Consumer protection cases are varied and should be examined to see whether a private attorney has the interest and the expertise to take these types of cases. However, it is an important area because abuse deprive seniors of needed and limited income.

Healthcare: billing issues

Here again, this is related to consumer protection regarding billing issues and is not done by other attorneys, but can be reviewed on a case by case basis. However, often improper billing can lead to a deprivation of income and seniors cannot afford representation to help with billing errors and issues.

Medicare appeals are being done by Public Health therefore, this component would be more educational.

Overall, these services can be provided by The Elder Justice Center for seniors when they are in need and unable to afford or obtain an attorney in private practice and they do not compete with private attorneys.

Method of Extension

It is proposed that the EJC should expand slowly, over a period of months to assure the clients that their needs will be met promptly. For this reason, the EJC proposes to extend cases in landlord/tenant law immediately to assist with the critical need for shelter. During this extension the EJC will track these cases and report on numbers of inquiries as well as representations.

Most of the medical billing issues, utility issues and unfair debt collection practices will begin as education at the senior centers. Inquiries regarding these issues could result in a review of the problem and assistance without litigation when possible. While consumer protection is a future interest of EJC, those would wait until further data on the issues are collected.

An example of another legal program

The following attached document represents the number of cases completed by Legal Aid of East Tennessee from April, 2018 until January, 2022 as part of the Tennessee Senior Law Alliance. All of these are senior cases. They received a large grant as a result of a nursing home abuse cases. While the demographics are very different, it still can illustrate the areas of need for seniors.

Here are the words of the Executive Director, Debra House, of that program:

We have done extensive work for seniors over the past four years. We were part of the Tennessee Senior Law Alliance (TSLA) that funded work for seniors from a class action settlement against nursing homes. It was a three-year statewide grant that funded several attorneys and paralegals here at LAET. It was a wonderful program but unfortunately it was one-time money so that project is done. As you might expect we did A LOT of the very same types of documents you are doing but didn't do the extensive estate planning. Our wills were limited to very simple ones with nothing more than some property to pass and limited other resources. The other big areas were housing (LLT, fair housing issues, repairs, security deposits); consumer issues (lots of scams against seniors) and bankruptcy; relative caregiver issues, including conservatorships for kids reaching adulthood (kids in custody of their grandparents, aunts, uncles, etc., often due to opioid issues with the parents); and Medicaid/Medicare issues. I'm attaching list of all cases we handled over the three years of the grant to give you a fuller picture.

I think all legal work for seniors is good work. They are a demographic that desperately needs legal help and for so many simply can't afford it.

I think your most compelling areas for expansion are housing (LLT) and income/benefits. Keeping people housed and with money/food on the table are so very basic to existence. If you are having the same housing crisis we are having in the states you understand how important it is for seniors to have legal representation when facing eviction or other housing issues.

The following attached is the list sent by ED House:

TSLA Cases Closed

April 2018-January 2022

	01 Bankruptcy/Debtor Relief: 59				
	02 Collection (including Repo/Def/Garnish): 132				
	03 Contracts / Warranties: 53				
	04 Collection Practices/Creditor Harassment: 20				
01-09 Consumer/Finances: 364	05 Predatory Lending Practices (not mortgages): 4				
10-19 Education: 1	06 Loans/Installment Purch.: 12				
20-29 Employment: 14	07 Public Utilities: 6				
30-39 Family: 127	08 Unfair and Deceptive Sales and Practices (not real property): 25				
40-49 Juvenile: 2	09 Other Consumer / Finance.: 53				
50-59 Health: 156	16 Student Financial Aid: 1				
60-69 Housing: 437	21 Employment Discrimination: 1				
70-79 Income Maintenance: 108	22 Wage Claim and other FLSA Issues: 4				
80-89 Individual Rights: 1	24 Taxes (not EITC): 6				
90-99 Misc: 2177	25 Employee Rights: 2				
	29 Other Employment & Ceta: 1				
	31 Custody / Visitation: 3				
	32 Divorce / Sep. / Annul.: 17				
	33 Adult Guardianship / Conserv.: 63				
	34 Name Change: 8				

37 Domestic Abuse: 14
38 Support: 1
39 Other Family: 21
42 Neglected/Abused/Depend.: 1
44 Minor Guardian/Conservatorship: 1
51 Medicaid: 94
52 Medicare: 20
54 Home and Community Based Care: 1
55 Private Health Insurance: 2
56 Long Term Health Care Facilities: 20
57 State and Local Health: 15
59 Other Health: 4
61 Fed. Subsidized Housing: 20
62 Homeownership/Real Prop. (not foreclosure): 138
63 Private Landlord / Tenant: 192
64 Public Housing: 29
65 Mobile Homes: 1
66 Housing Discrimination: 2
67 Mortgage Foreclosures (not predatory Lending/practices): 26
68 Mortgage Predatory Lending/Practices: 3
69 Other Housing: 26
72 Social Security (not SSDI): 35
73 Food Stamps: 9

- 74 SSDI: 12
- 75 SSI: 24
- 76 Unemployment Compensation: 11
- 77 Veterans Benefits: 1
- 78 State and Local Income Maintenance: 2
- 79 Other Income Maintenance: 14
- 84 Disability Rights: 1
- 93 Licenses (Auto and Other): 1
- 94 Torts: 6
- 95 Wills / Estates: 886
- 96 Advance Directives/Powers of Attorney: 1241
- 97 Municipal Legal Needs: 1
- 99 Other Miscellaneous: 42